SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*   BALL KRISTINE M	2. Date of Event Requiring Statement Month/Day/Year) 06/17/2003							
(Last) (First) (Middle) C/O EXELIXIS, INC 170 HARBOR WAY			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify		r (N	5. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street) SOUTH SAN FRANCISCO CA 94080			X Officer (give title below) Controller & Interi	below)	f   6.	oplicable Line) X Form filed b	/Group Filing (Check y One Reporting Person y More than One erson	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock			16,500 <sup>(1)</sup>	D				
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr.		4. Conversio or Exercis	e Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Stock Option (Right to Buy)	(2)	01/15/2011	Common Stock	6,750	9	D		
Stock Option (Right to Buy)	(2)	01/01/2012	2 Common Stock	5,000	16.62	D		
Stock Option ( Right to Buy)	(2)	12/20/2012	2 Common Stock	10,250	7.85	D		
Stock Option (Right to Buy)	(2)	04/15/2013	3 Common Stock	20,000	7.47	D		

Explanation of Responses:

1. Includes 3,126 shares currently subject to repurchase by the issuer.

2. Twenty-Five percent of the shares subject to the option vest on the first anniversary of the date of grant and 1/48th vest monthly thereafter.

**Remarks:** 

## <u>/s/ Kristine Ball</u>

\*\* Signature of Reporting Person

<u>10/07/2003</u> n Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.