SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	ROVAL									
OMB Number: 3235-0287										
Estimated average burden										
hours per response:	0.5									

			or Se	ction 30(h) of the In	vestment Con	npany Act of 1940						
1. Name and Address of Reporting Person*				er Name and Ticker LIXIS, INC.	• •	ymbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Lamb Peter				<u>Lintio, into.</u>				Director Officer (give title		Owner (specify		
(Last)	(First)	(Middle)	3. Date	of Earliest Transac	tion (Month/D	ay/Year)		below) EVP, Discovery	below)		
C/O EXELIXIS	1		09/18/	/2013				E V F, DISCOVELY	Research & C			
210 E. GRAND	O AVE.											
(Street)			4. If An	nendment, Date of (Driginal Filed ((Month/Day/Year)	6. Indi	vidual or Joint/Group) Filing (Check A	pplicable		
SOUTH SAN FRANCISCO	CA	94080						Form filed by One Form filed by Mo				
								Person	ie than One Rep	brung		
(City)	(State)	(Zip)										
		Table I - Nor	n-Derivative S	Securities Acq	uired, Disp	oosed of, or Benefic	cially (Dwned				
1. Title of Security (Instr. 3) 2. Trans Date				2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect		

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Disposed Of (D) (Instr. 3, 4 and 5)			Owned Following	Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number Derivativ Securitie Acquired or Dispos of (D) (Ins 3, 4 and 5	e s (A) sed str.	6. Date Exerci: Expiration Dat (Month/Day/Ye	e	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Option (right to buy)	\$5.51	09/18/2013		A		126,000		09/18/2014 ⁽¹⁾	09/17/2020	Common Stock	126,000	\$0	126,000	D	

Explanation of Responses:

1. Option granted pursuant to Issuer's 2011 Equity Incentive Plan. Option granted will vest as to 1/4th of the original number of shares subject to the option on the one-year anniversary of the grant date, and thereafter as to 1/48th of the original number of shares subject to the option on each monthly anniversary of the grant date.

/s/ James B. Bucher, Attorney in 09/19/2013

Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.